

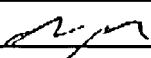
PTO/SB/21 (09-08)

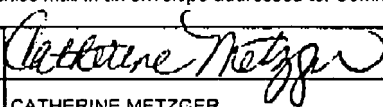
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<b>TRANSMITTAL FORM</b>	Application Number	00/043,433 (7,060,253)	<b>RECEIVED CENTRAL FAX CENTER JUL 25 2007</b>
	Filing Date	01/07/1999	
	First Named Inventor	Mundschenk, David D.	
	Art Unit	1616	
	Examiner Name	Konata M. George	
(to be used for all correspondence after initial filing)		Attorney Docket Number	05064-8-003000
Total Number of Pages in This Submission		3	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 3.73(b) (1 pg.)
Remarks The Director is hereby authorized to charge any fee(s) found to be due under 37 CFR 1.16 and 1.17 and to credit any overpayments to Deposit Account No. 502725 in the Name of: Lott & Friedland, P.A. An additional copy of this Transmittal Form is attached.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	LOTT & FRIEDLAND, P.A.	
Signature		
Printed name	MICHAEL J. KELLER	
Date	July 25, 2007	Reg. No. 38,201

CERTIFICATE OF TRANSMISSION/MAILING		
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Typed or printed name	CATHERINE METZGER	Date July 25, 2007

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**Lott & Friedland, P.A.**

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JUL 25 2007

**Fax**

To: MAIL STOP POST ISSUE

From: Catherine Metzger

Commissioner for Patents

Patent Paralegal

U.S. PATENT & TRADEMARK OFFICE Pages: 4, including cover page

Fax: (571) 273-8300

Date: July 25, 2007

Re: U.S. Patent No. 7,060,253  
(Application No. : 09/043,433)  
Issue Date: 06/13/2006  
(Filing Date: 01/07/1999)  
First Named Inventor: Mundschenk, David D.  
Title: TOPICAL FORMULATIONS AND DELIVERY SYSTEMS  
Attorney Docket No.: 05064-8-003000

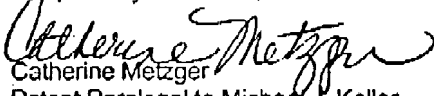
Dear Sir:

Please accept the following documents for filing in connection with the above-referenced patent application:

1. Transmittal Form.
2. Change of Correspondence Address - Patent
3. Statement Under 37 CFR 3.73(b).

Please contact the undersigned at (954) 315-5044 if you have any questions regarding this matter. Thank you.

Respectfully submitted,

  
Catherine Metzger  
Patent Paralegal to Michael J. Keller  
Lott & Friedland, P.A.

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PTO/SB/123 (01-06)  
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<b>CHANGE OF CORRESPONDENCE ADDRESS Patent</b>  Address to: Mail Stop Post Issue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Patent Number	7,080,263	<b>RECEIVED</b> <b>CENTRAL FAX CENTER</b> <b>JUL 25 2007</b>
	Issue Date	6/13/2006	
	Application Number	09/043,433	
	Filing Date	1/7/1999	
	First Named Inventor	Mundschenk, David D.	
	Attorney Docket Number	05064-B-03000	

Please change the Correspondence Address for the above-identified patent to:

☒ The address associated with Customer Number: 35886

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☐ Firm or Individual Name **Lott & Friedland, P.A.**

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Country **USA**

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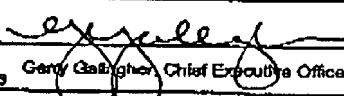
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I am the:

☐ Patentee.

☒ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/66).

☐ Attorney or agent of record. Registration Number \_\_\_\_\_

Signature 

Typed or Printed Name **Gary Gallagher, Chief Executive Officer, Esperanza Peptide, Ltd.**

Date **16 July 2007** Telephone **011-44-1475-675-548**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of **1** forms are submitted.

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PTO/SB/98 (04-07)

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## STATEMENT UNDER 37 CFR 3.73(b)

RECEIVED  
CENTRAL FAX CENTERApplicant/Patent Owner: ESPERANZA PEPTIDE LTD.Application No./Patent No.: 09/043,433 (7,060,253) Filed/Issue Date: 1/7/1999 (6/13/2006)

JUL 25 2007

Entitled: TOPICAL FORMULATIONS AND DELIVERY SYSTEMSESPERANZA PEPTIDE LTD.

(Name of Assignee)

a Scottish Limited Liability Company

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: David MundschenkTo: Phytomed Corporation

The document was recorded in the United States Patent and Trademark Office at  
Reel 009594 Frame 0568, or for which a copy thereof is attached.

2. From: Phytomed CorporationTo: BioTherapeutics, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 019541 Frame 0671, or for which a copy thereof is attached.

3. From: BioTherapeutics, Inc.To: Esperanza Peptide, Ltd.

The document was recorded in the United States Patent and Trademark Office at  
Reel 019541 Frame 0743, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Gerry Gallagher  
Signature  
GERRY GALLAGHER  
Printed or Typed Name

16 July 2007  
Date  
011-44-1475-875-548  
Telephone Number

CEO  
Title

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